

COPY OF PAPERS  
ORIGINALLY FILED

I hereby Certify that this Correspondence is  
being deposited with the United States Postal  
Service as First Class Mail in an envelope  
addressed to: Assistant Commissioner for Patents,  
Washington, D.C.  
20231 on October 30, 2001

Martin G. Linihan  
Name

Signature

October 30, 2001  
Date of Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert E. Duthie, Jr..

Serial No.: 09/903,825

Filed: July 11, 2001

For: Micro-organism Reduction In Liquid By Use Of  
A Metal Halide Ultraviolet Lamp

RESPONSE

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts Of  
Nonprovisional Application mailed August 30, 2001, a copy of  
which is enclosed, herewith are the executed Declaration together  
with payment of the surcharge.

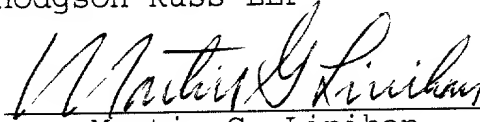
In response to the drawing requirement substitute drawings  
complying with the prescribed margin requirements are enclosed.  
However, it is believed that such a drawing requirement is made  
in applications scheduled for publication. The instant  
application, on the other hand, is the subject of a non-  
publication request. In that connection, enclosed are copies of  
the Request and Certification under 35 USC 122(b) filed with the  
instant application, the postcard receipt, and the Official  
filing receipt which confirms the non-publication request.

Favorable action on this application is respectfully requested.

Respectfully submitted,

Hodgson Russ LLP

By



Martin G. Linihan

Reg. No. 24,926

One M&T Plaza, Suite 2000  
Buffalo, New York 14203-2391  
(716) 856-4000  
DATE: October 30, 2001

2001 OCT 30 14:24:00

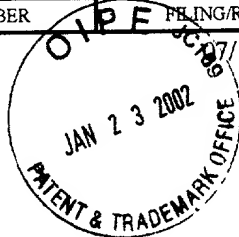


# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/903,825	07/11/2001	Robert E. Duthie JR.	11477.0012

Martin G. Linihan  
Hodgson Russ LLP  
Suite 2000  
One M&T Plaza  
Buffalo, NY 14203-2391



CONFIRMATION NO. 1397

## FORMALITIES LETTER



\*OC000000006498527\*

Date Mailed: 08/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

1/28/2002 MAHME1 00000158 09903825 65.00 0P  
1 FC:205

*Thompson*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2010-09-09 10:00:00